

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Lee A. Horton

Application No. TBA

Filed: Herewith

For: MULTI-SHANK RIPPER

Art Unit:

Examiner:

Atty Docket: 006-413-300

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to the Applicants and/or their attorney in compliance with the requirements of 37 C.F.R. § 1.56.

Applicants do not waive any rights to appropriate action to establish patentability over any of the listed documents should they be applied as references against the claims of the present application.

This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made.

Consideration of the cited documents and making the same of record in the prosecution of the above-identified application are respectfully requested.

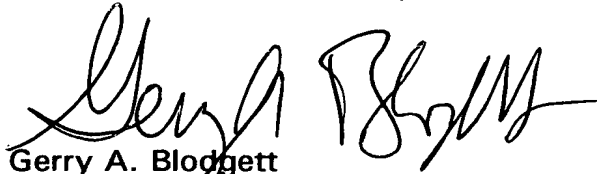
This Information Disclosure Statement is being filed with the patent application submitted herewith.

Lee A. Horton
006-413-300

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It is believed that no fees are due for consideration of this Information Disclosure Statement (see 37 CFR 1.97(b) 1136 OG 41 (March 17, 1992)). Any fees which may be due may be debited from our Deposit Account 02-2838.

Respectfully submitted,
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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	TBA
				Filing Date	Herewith
				First Named Inventor	Lee A. Horton
				Group Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	006-413-300

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code2			
		US-RE 33,198	04-17-1990	Ballinger	
		US-4,038,766	08-02-1977	Felstet	
		US-4,041,624	08-16-1977	Fryrear	
		US-4,077,529	03-07-1978	Leyrat et al.	
		US-4,204,348	05-27-1980	Lydie	
		US-4,279,085	07-21-1981	Arnold	
		US-4,457,085	07-03-1984	Arnold	
		US-4,476,641	10-16-1984	Bellinger	
		US-4,616,433	10-14-1986	Knell et al.	
		US-5,456,028	10-10-1995	Larson	
		US-5,992,062	11-30-1999	Evans et al.	
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T6
		Country Code3-Number4-Kind Code5				

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitted the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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